NAVAJO DIVISION OF TRANSPORTATION
DEPARTMENT OF AIRPORT MANAGEMENT

REQUEST FOR PROPOSALS (RFP)

Chinle Airport Turnkey AWOS Design-Build, Procurement, Construction, Commissioning Support, and Maintenance Options

NAVAJO NATION SIHASIN-FUNDED PROJECT

Solicitation No.: **26-08-4272DB** Issue Date: August 17, 2026 Project/Task Order No.: AWOS at Chinle, Arizona

1. Notice, Funding, and Procurement Authority

The Navajo Division of Transportation, Department of Airport Management (Owner), requests sealed proposals from qualified design-build teams for a complete turnkey Automated Weather Observing System (AWOS PT III) project at Chinle Airport. The selected team shall provide investigation, design, procurement, construction, installation, testing, FAA commissioning support, training, warranty, maintenance support, and closeout. Selection will be made on a best-value basis. The award will be incorporated into the Owner's standard agreement through Task Order No. 1.

This Project is funded solely with Navajo Nation sihasin funds and is not presently funded through an FAA Airport Improvement Program (AIP) grant. The Owner will not disclose a project budget, preliminary estimate, maximum feasible cost, maximum feasible price, or internal funding amount. Offerors shall independently develop prices and describe assumptions, exclusions, and risk controls.

This procurement and any resulting agreement or Task Order shall be conducted in accordance with all applicable Navajo Nation laws, regulations, policies, procedures, directives, and contract-review requirements, including the Navajo Nation Procurement Act and Navajo Nation Procurement Rules and Regulations, as amended. The successful Offeror shall comply with applicable Navajo Nation requirements throughout solicitation, award, contracting, performance, invoicing, subcontracting, change-order processing, closeout, and warranty and maintenance services.

Where applicable, Offerors shall comply with Navajo Nation business-preference, employment-preference, tax, licensing, insurance, bonding, audit/records, and contract-approval requirements. Navajo Nation may require additional certifications, forms, disclosures, or documentation necessary to verify compliance before award, Task Order execution, Notice to Proceed, payment, amendment, or final acceptance.

Milestone	Date / Time
Issue date	
	August 17, 2026
Proposer conference/site visit	
	August 19–21, 2026
Questions due	
	August 21, 2026
Proposal due	
	Wednesday, August 26, 2026, 5:00 P.M. MST
Target contract execution and encumbrance	
	On or before September 30, 2026

2. FAA Technical Compliance

Although this Project is Navajo Nation general-funded, the AWOS is intended for aviation-weather use. Contractor shall design, procure, construct, install, test, activate, and support commissioning of the AWOS in accordance with current applicable FAA standards and Non-Federal Program requirements necessary for FAA acceptance and operational aviation use. Contractor shall furnish an FAA-approved/certified non-Federal AWOS system and shall not make substitutions that reduce compliance, approved capability, reliability, maintainability, or acceptance eligibility.

This RFP does not automatically incorporate AIP grant procurement provisions solely due to the Project's aviation purpose. If federal funding is added or the funding source changes, Navajo Nation may amend this RFP, agreement, or Task Order before award or Notice to Proceed to incorporate applicable federal requirements.

3. Turnkey Scope of Work

- Field investigation; AWOS siting analysis; utility and communications assessment; airport-layout, safety-area, and obstruction review; and all required specialty services.
- Design development and final plans, technical specifications, cost estimate, construction safety/phasing plan, necessary environmental or site-clearance actions, and response to required Owner/FAA reviews.
- Procurement and furnishing of the AWOS, sensors, processor, tower, foundations, remote monitoring, communications components, software, accessories, and all construction materials.
- Civil, electrical, communications, grounding/surge protection, conduit, cable, access, security, installation, configuration, testing, and restoration work.
- Manufacturer startup; qualified commissioning technician; FAA Non-Federal Program coordination and inspection support; correction of deficiencies; training; warranty; maintenance support; and final closeout.

4. Ownership, Licensing, and Operations

All AWOS equipment, infrastructure, transferable software licenses, manuals, warranties, configuration records, test records, and work products furnished or installed under the Task Order shall become Navajo Nation property upon payment, delivery, or installation, as applicable. Final acceptance remains subject to completion of all acceptance requirements. Contractor shall deliver all items free of liens, security interests, or restrictions that interfere with Navajo Nation operation, maintenance, repair, replacement, transfer, or selection of a future service provider.

Navajo Nation shall be the FCC licensee for the AWOS VHF frequency and the account holder for recurring telephone/data service. Contractor shall prepare technical information, assist with licensing and service activation, configure interfaces, and test operation. Contractor shall transfer all passwords, configuration files, access credentials, warranty registrations, manuals, and manufacturer contacts at turnover.

5. Mandatory Minimum Qualifications, Testing, and Acceptance

Mandatory Minimum Qualifications. The Offeror, or its named AWOS specialty subcontractor, must demonstrate documented successful experience designing, procuring, installing, testing, supporting FAA commissioning, and placing FAA-approved/certified non-Federal AWOS systems into operational aviation-weather service. Offerors that do not provide the required evidence of comparable AWOS experience, required licenses, manufacturer authorization, qualified commissioning personnel, and required insurance/bonding information may be determined nonresponsive and excluded from further evaluation.

- At least three comparable AWOS projects completed in the last five years and at least two successfully commissioned/accepted or placed into operational aviation-weather use.
- Proposed AWOS manufacturer/model/capability; proof of FAA approval/certification; manufacturer authorization; named airport, electrical, communications, AWOS, and commissioning personnel; and owner references.
- Evidence of professional engineering licensure appropriate to the design work, applicable contractor and electrical licenses, professional liability/errors-and-omissions coverage, performance/payment bonding capacity, and all required insurance.
- Qualified commissioning technician with appropriate FAA verification authority, or a binding commitment from the entity providing required service.
- Detailed FAA compliance, quality-control, testing, commissioning, corrective-action, documentation, and operational-turnover plan.
- Final acceptance only after completion of all design/construction requirements, successful testing, required commissioning-support actions, training, warranty transfer, asset/configuration records, record drawings, and written Owner acceptance. Physical installation alone is not final acceptance.

6. Maintenance, Warranty, and Training

Year 2 warranty and maintenance support shall be included in the base proposal price. Offerors shall provide firm, separately stated option prices for Years 3 and 4. Options may be exercised only through a written Task Order amendment and are subject to funds availability.

- Three preventive-maintenance site visits each year, approximately every four months, by qualified manufacturer-authorized or FAA-qualified personnel.
- Annual performance/configuration verification and FAA coordination/witnessing support as required for continued operational status.
- Remote technical support, emergency-response commitment, maintenance reports, approved software/firmware support, and parts recommendations.
- Initial training before final acceptance and annual refresher training for Navajo Aviation staff; operations manuals, preventive-maintenance guidance, warranty information, escalation procedures, and asset/configuration inventory.

7. Proposal, Price, Maximum Feasible Cost Review, and Evaluation

Submit a signed cover letter; technical approach; scope matrix; AWOS manufacturer/model information; team and credentials; comparable projects/references; schedule; airport safety and quality-control plan; required Navajo Nation forms; a sealed technical proposal; and a separately sealed price proposal.

The price proposal shall separately state design/project management; specialty/environmental services; equipment; construction; startup/testing; commissioning support; Year 2 warranty and maintenance support; optional Years 3 and 4; Owner-controlled FAA acceptance contingency allowance; 6% Navajo Nation Sales Tax; and total proposed price including tax.

Department of Airport Management has established an internal Maximum Feasible Cost for this Project in accordance with applicable Navajo Nation procurement requirements. The Maximum Feasible Cost is confidential and will not be disclosed to Offerors. Department of Airport Management will evaluate proposed pricing for completeness, reasonableness, affordability, and consistency with the scope of work, available funds, and the internal Maximum Feasible Cost. A proposal that exceeds available funds or is determined not fair and reasonable may be rejected, subject to applicable Navajo Nation procurement requirements. The Maximum Feasible Cost is not the sole basis for award and does not replace the published evaluation criteria.

The Owner-controlled FAA acceptance contingency allowance may be used only with prior written authorization from Department of Airport Management through an approved Task Order amendment or other written authorization permitted by the executed agreement. The allowance shall not be used for correction of Contractor-caused defects, errors, omissions, or nonconforming work.

Criterion	Weight
Technical approach and FAA compliance/commissioning strategy	
	30%
AWOS experience, manufacturer support, and commissioning capability	
	25%
Team, safety, capacity, and encumbrance schedule	
	20%
Price and value	
	20%
Applicable Navajo Nation procurement preferences, if any	
	5%

Department of Airport Management intends to award the highest-ranked responsive and responsible Offeror providing the best value, subject to required approvals, funding availability, and successful completion of any permitted negotiations.

8. Contract and Task Order Controls

The successful Offeror shall provide professional liability coverage for design services and required performance/payment bonds, general liability, automobile liability, workers' compensation coverage, applicable licenses, non-collusion, debarment & suspension, W9 and other security before Notice to Proceed. The executed agreement and Task Order govern payment, retainage, audit/records, warranty, change orders, suspension, termination, and closeout. No work may begin or cost be incurred in reliance on an award notice until all required procurement and contract approvals are complete, funds are available and encumbered, the agreement and Task Order are fully executed, and Navajo Nation issues a written Notice to Proceed.

9. Submission Instructions

Submission location: Window Rock Airport Terminal, 008-068 Beacon Road, Window Rock, Arizona 86515 | Procurement contact: Michael M. Tsosie, 505-481-2819 | Due date/time/time zone: Wednesday, August 26, 2026, at 5:00 P.M. MST. Timely delivery is solely the Offeror's responsibility. Late, incomplete, unsigned, unsealed, or improperly labeled proposals may be rejected.

- Submit one (1) signed technical proposal, four (4) complete copies, In a manila envelope
- Submit the technical proposal and price proposal in two separate sealed envelopes or packages within the outer sealed submission package. Clearly mark one envelope "TECHNICAL PROPOSAL" and the other "PRICE PROPOSAL."
- Mark the outer package: "SEALED PROPOSAL — CHINLE AIRPORT TURNKEY AWOS DESIGN-BUILD — DO NOT OPEN UNTIL AFTER 5:00 P.M. MST, AUGUST 26, 2026."
- Include all required forms, qualifications, certifications, technical attachments, price proposal, and other required proposal materials.

10. Sovereign Immunity; Reservation of Rights

Nothing in this solicitation, any proposal, discussions, negotiations, notice of selection or award, Task Order, or resulting agreement shall be construed as an express or implied waiver of the sovereign immunity of the Navajo Nation. No representative is authorized to waive sovereign immunity except as expressly authorized by Navajo Nation law and through all required approval processes.

Before execution of a final written agreement and Task Order, Navajo Nation reserves the right, to the fullest extent permitted by law, to amend, suspend, cancel, withdraw, or reissue this solicitation; reject any or all proposals; waive minor informalities; seek clarifications; modify or reduce the scope; or decline to award when it is in the Nation's best interest, funding is unavailable, requirements change, prices are unacceptable, or other procurement concerns arise. This solicitation creates no contractual obligation; proposal-preparation costs are solely the Offeror's responsibility.

11. Task Order No. 1 — Required Form

Field	Task Order Requirement
Construction Agreement	
	To be issued upon award in accordance with Navajo Nation procurement and contract approval requirements.
Task Order	
	Task Order No. 1 — Chinle Airport AWOS Project
Funding Source	
	Navajo Nation General Funds
Procurement Method	
	Competitive best-value design-build RFP — selection based on the evaluation criteria stated in this RFP.
Scope	
	This RFP, accepted proposal, approved scope/attachments, and executed agreement.
Contract Price	
	To be determined upon proposal evaluation, selection, and

	award; includes separately stated 6% Navajo Nation Sales Tax.
Contract Time	
	TBD
Funding Condition	
	Subject to funds availability, encumbrance, and required Navajo Nation approvals.
Notice to Proceed	
	Written Notice to Proceed required before work begins.
Attachments	
	Scope, schedule, price schedule, insurance, performance bond, payment bond, technical documents, and approved change orders.

The Task Order shall be executed only after award, required approvals, encumbrance, insurance, bonds, and all other contract prerequisites are complete.

Form **W-9**
(Rev. March 2024)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Go to *www.irs.gov/FormW9* for instructions and the latest information.

Give form to the requester. Do not send to the IRS.

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See *Specific Instructions* on page 3.

1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)

2 Business name/disregarded entity name, if different from above.

3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only **one** of the following seven boxes.

☐ Individual/sole proprietor ☐ C corporation ☐ S corporation ☐ Partnership ☐ Trust/estate

☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) . . . . . ______

Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner.

☐ Other (see instructions) ______

3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions . . . . ☐

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) ______

Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) ______

(Applies to accounts maintained outside the United States.)

5 Address (number, street, and apt. or suite no.). See instructions.

Requester's name and address (optional)

6 City, state, and ZIP code

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

☐☐☐ – ☐☐ – ☐☐☐☐

or

Employer identification number

☐☐ – ☐☐☐☐☐☐☐

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here **Signature of U.S. person** **Date**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/FormW9*.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

2—The United States or any of its agencies or instrumentalities.

3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.

5—A corporation.

6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.

7—A futures commission merchant registered with the Commodity Futures Trading Commission.

8—A real estate investment trust.

9—An entity registered at all times during the tax year under the Investment Company Act of 1940.

10—A common trust fund operated by a bank under section 584(a).

11—A financial institution as defined under section 581.

12—A middleman known in the investment community as a nominee or custodian.

13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	**THEN the payment is exempt for . . .**
• Interest and dividend payments	All exempt payees except for 7.
• Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
• Barter exchange transactions and patronage dividends	Exempt payees 1 through 4.
• Payments over $600 required to be reported and direct sales over $5,000[1]	Generally, exempt payees 1 through 5.[2]
• Payments made in settlement of payment card or third-party network transactions	Exempt payees 1 through 4.

[1] See Form 1099-MISC, Miscellaneous Information, and its instructions.

[2] However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at *www.SSA.gov*. You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at *www.irs.gov/EIN*. Go to *www.irs.gov/Forms* to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to *www.irs.gov/OrderForms* to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account[1]
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor[2]
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee[1]
b. So-called trust account that is not a legal or valid trust under state law	The actual owner[1]
6. Sole proprietorship or disregarded entity owned by an individual	The owner[3]
7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))**	The grantor*

For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity[4]
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B))**	The trust

[1] List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

[2] Circle the minor's name and furnish the minor's SSN.

[3] You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

[4] List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

* **Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

** For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to *phishing@irs.gov*. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at *spam@uce.gov* or report them at *www.ftc.gov/complaint*. You can contact the FTC at *www.ftc.gov/idtheft* or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see *www.IdentityTheft.gov* and Pub. 5027.

Go to *www.irs.gov/IdentityTheft* to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.

NAVAJO NATION CERTIFICATION
Regarding Debarment, Suspension, and Contracting Eligibility

Consultant/Project Name ______________________ Work Location ______________________

1. Applicant acknowledges, in accordance with the Navajo Nation Procurement Act, 12 N.N.C. §§ 301-80, to the best of its knowledge, Applicant, in either its present form or in any other identifiable capacity, that it has not:
   a. been convicted in any jurisdiction for the commission of a criminal offense incident to obtaining, or attempting to obtain, a public or private contract or subcontract, or in the performance of such Contract or subcontract;
   b. been convicted in any jurisdiction for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which currently, seriously, and directly affects responsibility as a Navajo Nation Contractor;
   c. been convicted in any jurisdiction under any antitrust statute arising out of the submission of offers;
   d. violated contract provisions, such as having:
      i. deliberately failed, without good cause, to perform in accordance with the purchase description or within the time limit provided in the contract; or
      ii. a record of failure to perform, or of unsatisfactory performance, with the terms of one or more contracts; or
   e. been determined to be ineligible to conduct business with the Navajo Nation under the Navajo Business Opportunity Act, 12 N.N.C. §§ 201-380;
   f. submitted bad offers where such offers are lower than the expected price, or overstate the Applicant's qualifications; and
   g. engaged in any other cause so serious and compelling as to affect Applicant's responsibility as a Navajo Nation Contractor, including debarment or suspension by another government.
2. Applicant certifies that the individual named below is authorized to represent Applicant for purposes of the declarations in this certification, and that all such declarations are made on behalf of Applicant and all of its owners, partners, officers, members, employees, officials, agents, or parties-in-interest;
3. Applicant acknowledges that, if the Navajo Nation determines this executed Certification is untrue or not wholly accurate, the Navajo Nation shall have grounds terminate the contract award or contract and pursue other legal remedies, at the Navajo Nation's discretion.
4. Applicant certifies that, to the best of its knowledge, it is eligible to do business with the Navajo Nation, in its present form or in any other identifiable capacity, pursuant to 12 N.N.C. §§ 1501-16 and 5 N.N.C. §§ 201-380.
5. Applicant acknowledges that per 12 N.N.C. § 1505, it will not be eligible to contract with the Navajo Nation if deemed ineligible by the appropriate department or entity of the Navajo Nation which receives the Applicant's request for consideration for a business opportunity.

Applicant Name	Printed name individual signing on Applicant's behalf
Applicant Address	Title of individual signing on Applicant's behalf
Applicant Address	Signature of individual signing on Applicant's behalf
Applicant Address	Date

NAVAJO NATION CERTIFICATION

Regarding Non-Collusion

Consultant/Project Name ______________________ Work Location ______________________

In accordance with Navajo Nation Procurement Act, 12 N.N.C. §§ 301-80, Applicant, in either its present form or in any other identifiable capacity, certifies and acknowledges the following:

1. Applicant is submitting an offer that is genuine and not collusive or a sham to the Navajo Nation for the above-named Project;
2. Applicant is fully informed regarding the preparation and required content of its offer, including all pertinent circumstances governing submission of its offer to the Navajo Nation;
3. Applicant has in no way colluded, conspired; connived; or agreed, directly or indirectly, with any other entity, offeror, or person regarding the proposed contract for the Project, to:
   a. submit a sham offer to the Navajo Nation, or
   b. refrain from submitting an offer to the Navajo Nation;
4. Applicant has not in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference, with any other entity, offeror, or person, to:
   a. fix any price or fee relating to its offer or of any other entity, offeror, or person, or
   b. fix any price, overhead, profit, reimbursement, or cost element of its offer, or that of any entity, offeror, or person;
5. Applicant has not, through any collusion, conspiracy, connivance, or unlawful written or oral agreement, secured any advantage against the Navajo Nation or against any other entity, offeror, or person interested in the proposed contract for the Project;
6. that the individual named below is authorized to represent Applicant for purposes of the declarations in this certification, and that all such declarations are made on behalf of Applicant and all of its owners, partners, officers, members, employees, officials, agents, or parties-in-interest;
7. all statements set forth herein, and in its offer submitted to the Navajo Nation, are true; and
8. that, if the Navajo Nation determines this executed Certification is untrue or not wholly accurate, the Navajo Nation shall have grounds terminate the contract award or contract and pursue other legal remedies, at the Navajo Nation's discretion.

Applicant Name	Printed name individual signing on Applicant's behalf
Applicant Address	Title of individual signing on Applicant's behalf
Applicant Address	Signature of individual signing on Applicant's behalf
Applicant Address	Date